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JPW

# TRANSMITTAL FORM

Application Serial Number	10/646,098
Filing Date	August 22, 2003
First Named Inventor	Tuller
Group Art Unit	2874
Examiner Name	M.R. Connelly-Cushwa
Attorney Docket No.	MIT-168
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input checked="" type="checkbox"/> Notification Regarding Small Entity Status Under 37 C.F.R. 1.27 and 1.28 <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Goodwin Procter LLP  
Exchange Place  
Boston, MA 02109  
Tel. No.: (617) 570-1000  
Fax No.: (617) 523-1231  
Customer No. 051414

## SIGNATURE BLOCK

Respectfully submitted,

Date: September 29, 2005  
Reg. No. 44,381  
Tel. No.: (617) 570-1806  
Fax No.: (617) 523-1231

Natasha C. Us  
Attorney for Applicant(s)  
Goodwin Procter LLP  
Exchange Place  
Boston, MA 02109



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<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	- Copy of Notification Regarding Small Entity Status Under 37 C.F.R. 1.27 and 1.28 as filed September 29, 2005
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

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PATENT  
Attorney Docket No. MIT-168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Tuller *et al.*  
SERIAL NO. 10/646,098 GROUP NO.: 2874  
FILING DATE: August 22, 2003 EXAMINER: M. R. Connelly-Cushwa  
TITLE: STABILIZED TITANATE THIN FILM STRUCTURES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NOTIFICATION REGARDING SMALL ENTITY STATUS  
UNDER 37 C.F.R. 1.27 AND 1.28

Pursuant to 37 C.F.R. 1.27, the Assignee hereby notifies, without prejudice, the United States Patent and Trademark Office that status as a small entity is no longer requested for the above-identified patent application. Accompanying the present submission is a check in the amount of \$690.00 in payment of the total deficiency payment owed as itemized below in accordance with 37 C.F.R. § 1.28(c)(2).

Type of Fee	Fee Paid & Date Paid (Small Entity Amount)	Current Fee Amount (Non-Small Entity Amount)	Deficiency (Amount Owed)
Filing fee	\$375.00 (August 22, 2003)	\$1,000.00 (basic, search & examination fees)	\$625.00
Oath or declaration surcharge	\$ 65.00 (September 2, 2004)	\$ 130.00	\$65.00
<b>Total Deficiency</b>			<b>\$690.00</b>

Date: September 29, 2005  
Reg. No. 44,381

Adjustment date: 10/04/2005 SLUANG1  
09/08/2004 SSITHIB1 00000028 10646098  
01 FC:2051 -65.00 OP  
Tel. No. (617) 570-1806  
Fax No. (617) 523-1231

Natasha C. Us  
Attorney for Applicants  
Goodwin | Procter LLP  
Exchange Place  
Boston, Massachusetts 02109

Adjustment date: 10/04/2005 SLUANG1  
08/26/2003 DEMMANU1 00000027 10646098  
01 FC:2001 -375.00 OP

10/04/2005 SLUANG1 00000004 10646098

01 FC:1001 790.00 OP  
02 FC:1051 130.00 OP  
03 FC:1461 210.00 OP



PATENT  
Attorney Docket No. MIT-168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Tuller *et al.*  
SERIAL NO.: 10/646,098 GROUP NO.: 2874  
FILING DATE: August 22, 2003 EXAMINER: M.R. Connelly-Cushwa  
TITLE: STABILIZED TITANATE THIN FILM STRUCTURES

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 29<sup>th</sup> day of September, 2005.

  
Wendy Martin

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Notification Regarding Small Entity Status Under 37 C.F.R. 1.27 and 1.28 (1 pg.);
3. Check in the amount of \$690.00; and
4. Return receipt postcard

VER 9/00



DFW

PATENT  
Attorney Docket No. MIT-168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Tuller *et al.*  
SERIAL NO.: 10/646,098 GROUP NO.: 2874  
FILING DATE: August 22, 2003 EXAMINER: M.R. Connelly-Cushwa  
TITLE: STABILIZED TITANATE THIN FILM STRUCTURES

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 29<sup>th</sup> day of September, 2005.

  
Wendy Martin

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

Submitted herewith are:

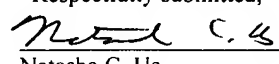
1. Transmittal Form (1 pg.);
2. Fee Transmittal (1 pg.);
3. Amendment and Response to Office Action (7 pgs.);
4. Copy of Notification Regarding Small Entity Status Under 37 C.F.R. 1.27 and 1.28 as filed September 29, 2005 (5 pgs);
5. Petition for Extension of Time Under 37 CFR 1.136(a) (1 pg.);
6. Check in the amount of \$120.00; and
7. Return receipt postcard

VER 9/00

LIBC/2606423.1

 <b>TRANSMITTAL</b> <b>FEE COPY 2005</b>	Complete if Known	
	Application Serial Number	10/646,098
	Filing Date	August 22, 2003
	First Named Inventor	Tuller
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																											
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other  2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 07-1700. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input type="checkbox"/> Applicant claims small entity status.	<b>3. ADDITIONAL FEES</b> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 15%;">Small Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr><td>120</td><td>60</td><td>Extension for reply within first month</td><td>120.00</td></tr> <tr><td>450</td><td>225</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1020</td><td>510</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1590</td><td>795</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>2160</td><td>1080</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>500</td><td>250</td><td>Notice of Appeal</td><td></td></tr> <tr><td>500</td><td>250</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1000</td><td>500</td><td>Request for oral hearing</td><td></td></tr> <tr><td>400</td><td>400</td><td>Petitions to the Commissioner (Gp. I)</td><td></td></tr> <tr><td>200</td><td>200</td><td>Petitions to the Commissioner (Gp. II)</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner (Gp. III)</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>790</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>790</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr><td>130</td><td>65</td><td>Submission of Terminal Disclaimer</td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> </tbody> </table>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		120	60	Extension for reply within first month	120.00	450	225	Extension for reply within second month		1020	510	Extension for reply within third month		1590	795	Extension for reply within fourth month		2160	1080	Extension for reply within fifth month		500	250	Notice of Appeal		500	250	Filing a brief in support of an appeal		1000	500	Request for oral hearing		400	400	Petitions to the Commissioner (Gp. I)		200	200	Petitions to the Commissioner (Gp. II)		130	130	Petitions to the Commissioner (Gp. III)		180	180	Submission of Information Disclosure Statement		790	395	Filing a submission after final rejection (37 CFR 1.129(a))		790	395	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		130	65	Submission of Terminal Disclaimer		Other fee (Specify)				Other fee (Specify)															
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CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Goodwin Procter LLP Exchange Place Boston, MA 02109 Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414	Respectfully submitted,  Date: <u>Sept. 29, 2005</u> Reg. No.: 44,381 Tel. No.: (617) 570-18806 Fax No.: (617) 523-1231   Natasha C. Us Attorney for the Applicants Goodwin Procter LLP Exchange Place Boston, MA 02109

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MIT-168  
(058420/157392)



The "RECEIVED" stamp of the Patent Office imprinted hereon acknowledges the filing of:  
Transmittal Form (1 page); Notification Regarding Small Entity Status Under 37 C.F.R. and 1.28 (1 page); check in the amount of \$690.00; all under Certificate of First Class Mail dated September 29, 2005.

Name of Applicant: Tuller *et al.*

Intf. or Serial Number: 10/646,098

Atty: NCUs/wlm

Date: September 29, 2005

LIBC/2606461

GOODWIN PROCTER LLP

No. 969291

(A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS)  
BOSTON, MASS.

8/98

Date: 9/28/2005

5-7017/2110

*Five hundred ninety and 00/100 Dollars*

\$690.00

CITIZENS BANK  
Massachusetts

Void after 180 days

The Director of the U.S. Patent and Trademark Office



M.I.T. 168

U.S.S.N. 10/646,098

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THE ORIGINAL DOCUMENT HAS A REFLECTIVE WATERMARK ON THE BACK. HOLD AT AN ANGLE TO VIEW WHEN CHECKING THE ENDORSEMENT.

